

Face Recognition Performance + Measurement

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NIST

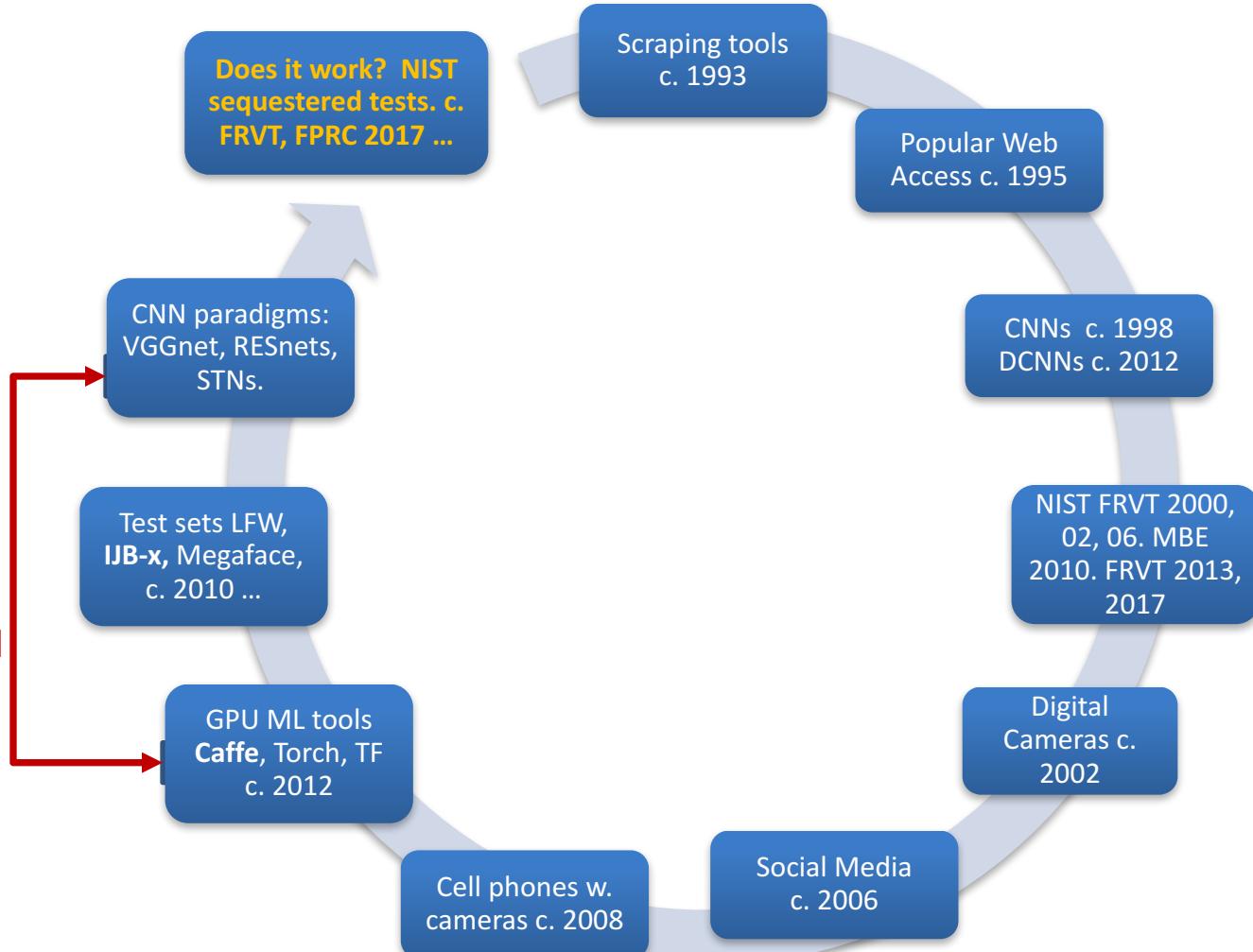
Biometrics Rally Webinar

Maryland Test Facility

November 17, 2017

Enablers of Better Face Recognition

An industrial revolution



Face recognition trends, evolution, revolution

Marketplace, organizational

- » Many more developers
- » More large programs
 - US Exit. EES (2022)
- » Capture envelope
 - Constrained → Unconstrained
- » Bigger better benchmarks
- » New applications
- » New tasks
 - Clustering
- » Larger databases, ↑N

Sensors

- Better VSS cameras
 - ↑ resolution
 - ↓ lower frame rate
 - H265
- Face-aware cameras
 - Detection
 - Quality
- PAD
- Resurrection of 3D
 - Face action

Algorithms

- » Revolution under the hood: CNNs
 - Better accuracy
 - Smaller templates
 - Better pose invariance
- » Faster processing
 - Faster template generation
 - Sublinear search
- » Processors
 - GPU for training
 - CPU very much alive

Gaps

- Quality infrastructure
- Quality standardization

- PAD, Morph detection
- Demographic neutrality

Partial List of FR companies

- Cognitec
- Idemia (Morpho)
- Gemalto-Cogent
- NEC
- Toshiba
- Neurotechnology
- HP / Virage
- IBM
- Camvi
- Rankone
- Noblis
- Cyberextruder
- Decatur
- Dalian U. of Technology
- Surrey U.
- Tsinghua U.
- Beijing Ivsign
- Chinese Academy of Sciences
- Fudan U.
- U. of Maryland
- USC ISI
- Itmo
- Ayonix
- Dermalog
- Digitalbarriers
- FST
- HB Innovation
- Herta
- Id3
- Innovatrics
- Isityou
- Vision Labs
- NtechLab
- 3divi
- STR, Oxford U.
- Non-Biometrics
 - Apple
 - Google
 - Facebook
 - Microsoft
 - Amazon
 - Kairos
 - Affectiva
 - OpenCV
- Betaface
- Panasonic
- Progeny
- Samtech
- Tongyi Trans.
- Vcognition
- Yitu
- Intellivision
- Ping An
- Zhuhai Yisheng
- JunYi
- SeeQuestor
- AnyVision
- Megvii, Face++
- FaceFirst
- Videmo

AND THE LIST is
GROWING rapidly...

Face Recognition Tests at NIST

Face Recognition Vendor Test

- Runs indefinitely
- 1:1. Visa + Mugshots

FRVT

- Add selfies
- Add webcam

FRVT 1:N 2018

- 1:N with $N \sim O(10^7)$

Image Analysis

- Quality Framework
- Pose estimation
- Illumination, blur, expression etc.

2017-Q1

2017-Q2

2017-Q3

2017-Q4

2018-Q1

FRVT History

- 2002: First look at sex and age
- 2006: Multimodal
- 2010: First proper 1:N
- 2011: Compression for 1:1
- 2014: Better 1:N
- 2014: Age and sex estimation
- 2016: Child Exploitation
- 2017: Face in Video (FIVE)

FRVT

- Add frames from airport video

Face Recognition Prize Challenge

- Unconstrained images
- 1:1 and 1:N with $N \sim O(10^6)$

Morphed images

- Automated detection
- Matching accuracy

FRPC 2018

- TBD

Upcoming FRVT 1:N 2018 Test



- Cooperative, still images
- Metrics: 1:N
 - 1:N False positive / negative
 - Speed, template size
 - Demographic effects

Large-scale 1:N evaluation

- Cooperative, still images
- Gallery N $\sim O(10^7)$
- Subject-based vs. event-based gallery composition
- Gallery maintenance



FRVT 2018: Important Dates

- 2017-Oct-26 - Nov-15: Draft API publication and public comments period
2017-Nov-16: Final API published
2018-Jan-22: First day algorithms can be sent to NIST
2018-Feb-16: Phase 1 submission deadline
2018-Jun-21: Phase 2 submission deadline
2018-Oct-19: Phase 3 submission deadline

FRVT 1:N 2018: Intended main outcomes

Accuracy

- » Absolute accuracy
 - Hit rates, rank 1, 2, ...
 - Hit rates, threshold-based
 - Dependence on gallery size, N
- » Relative FR accuracy 2010 → 2013 → 2018
- » Comparative accuracy of 2018 FR algorithms
- » Accuracy dependence on
 - Subject-specific factors: Race, age, gender, skin tone
 - Image-specific factors: Pose, compression, camera
- » Effect of gallery composition
 - Subject-based (consolidated)
 - Event-based

Computational resources

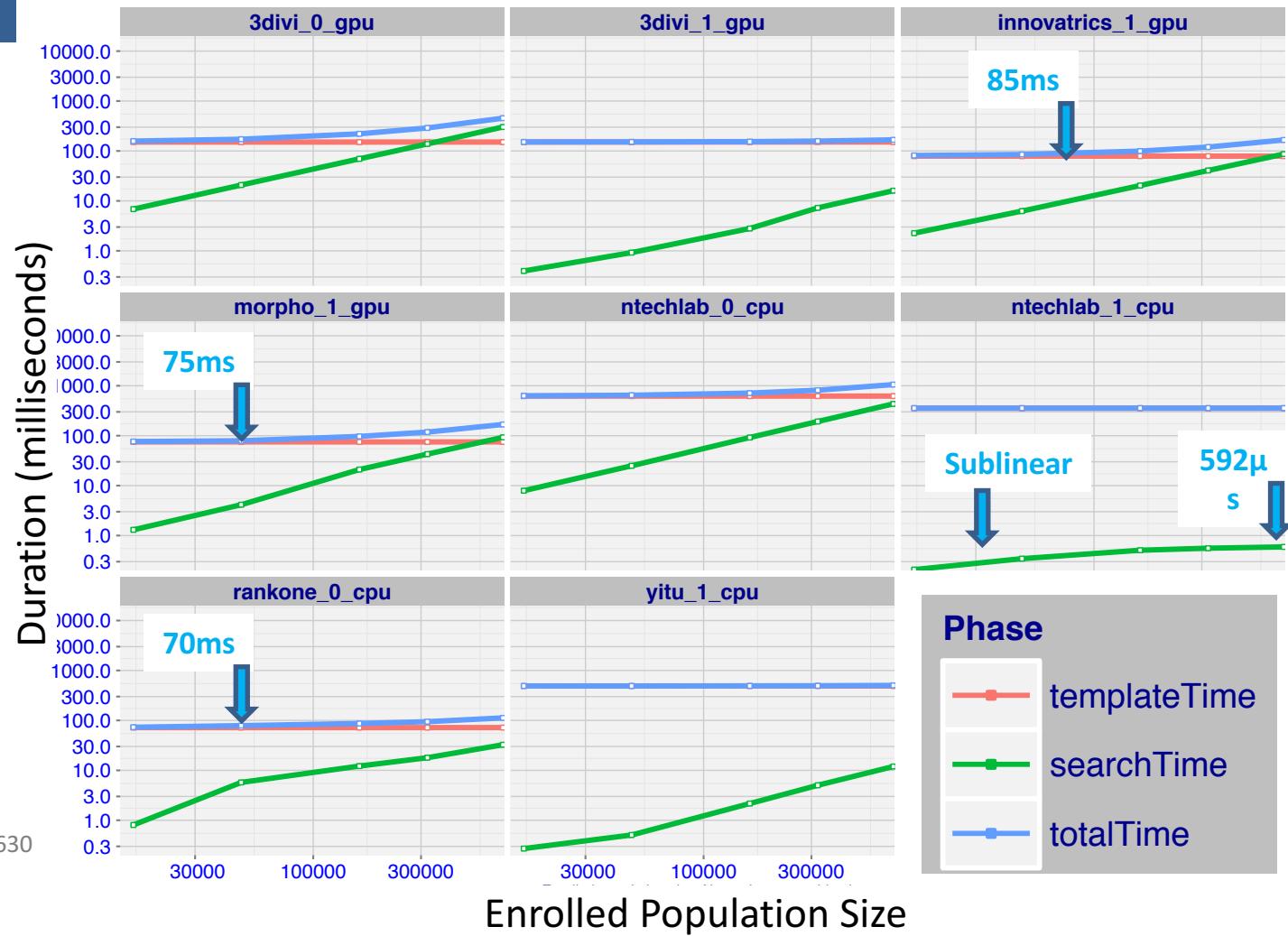
- » Template
 - Size
 - Generation duration
- » Search duration
 - Dependence on gallery size, N
 - Dependence on candidate list size
- » Gallery maintenance
 - Finalization
 - Subject insert/delete
- » Memory

Demographic effects

- » Phase 1. Measurement of effects of age, race, sex
 - Type I + II errors
- » Phase 2
 - Mitigate via turnkey training on operational data
 - Multimodal face + iris

Durations

Algorithm	Template	
	Size	Time
	Bytes	msec
Innovatri 1	276	85
3divi 0	4224	150
3divi 1	4096	150
Morpho	404	75
Ntech 0	5784	630
Ntech 1	987	360
Rankone	144	70
Yitu	2260	440
Neurotec	4780	1400



All times on single Intel Xeon core E5-2630 2.2GHz, or NVIDIA Kepler K40m

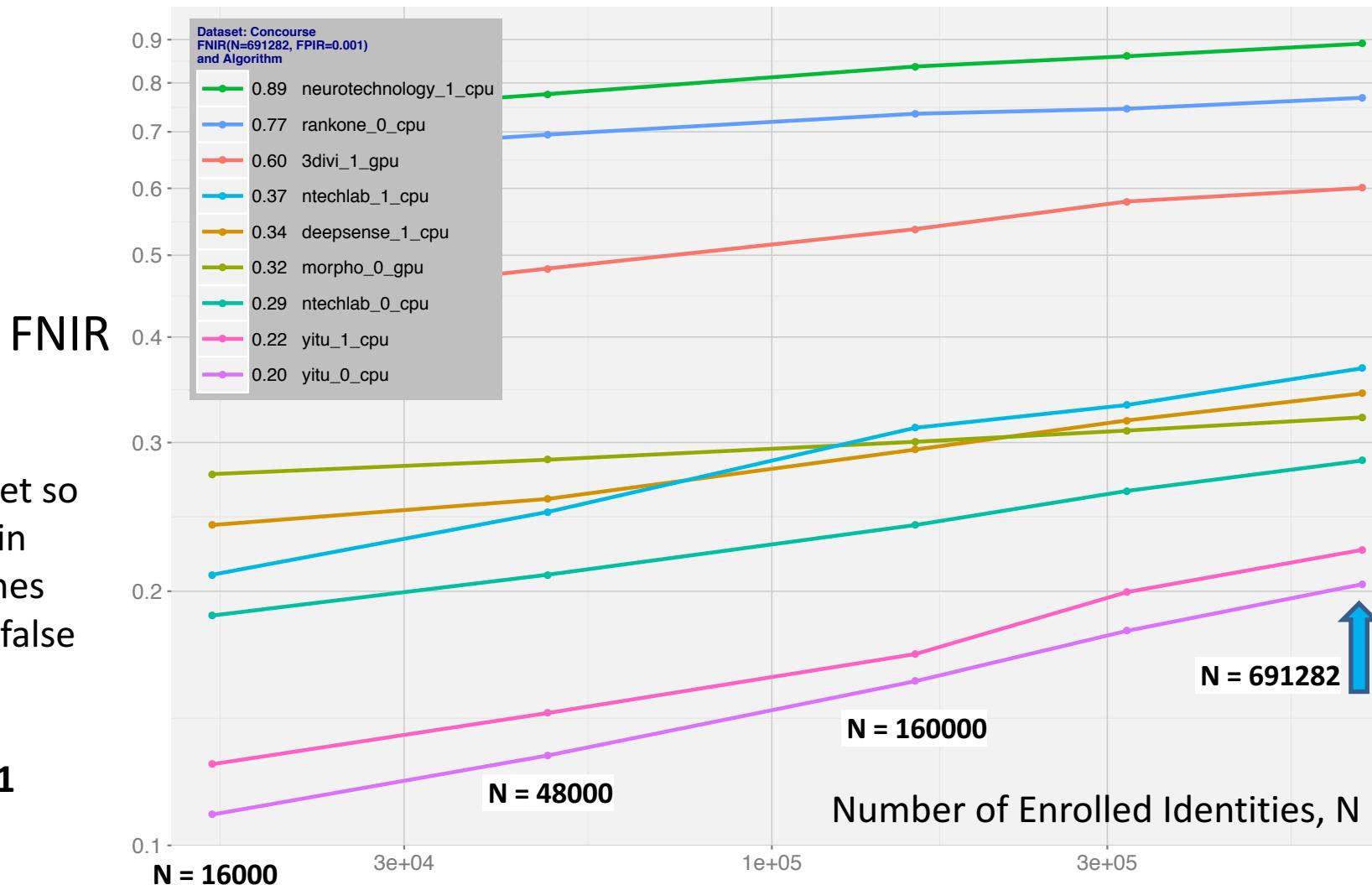
[1] H. Jégou, M. Douze and C. Schmid, "Product quantization for nearest neighbor search", PAMI 33(1), 2011

[2] K. Chatfield, R. Arandjelović, O. M. Parkhi, A. Zisserman "On-the-fly learning for visual search of large-scale image and video datasets", International Journal of Multimedia Information Retrieval, 2015

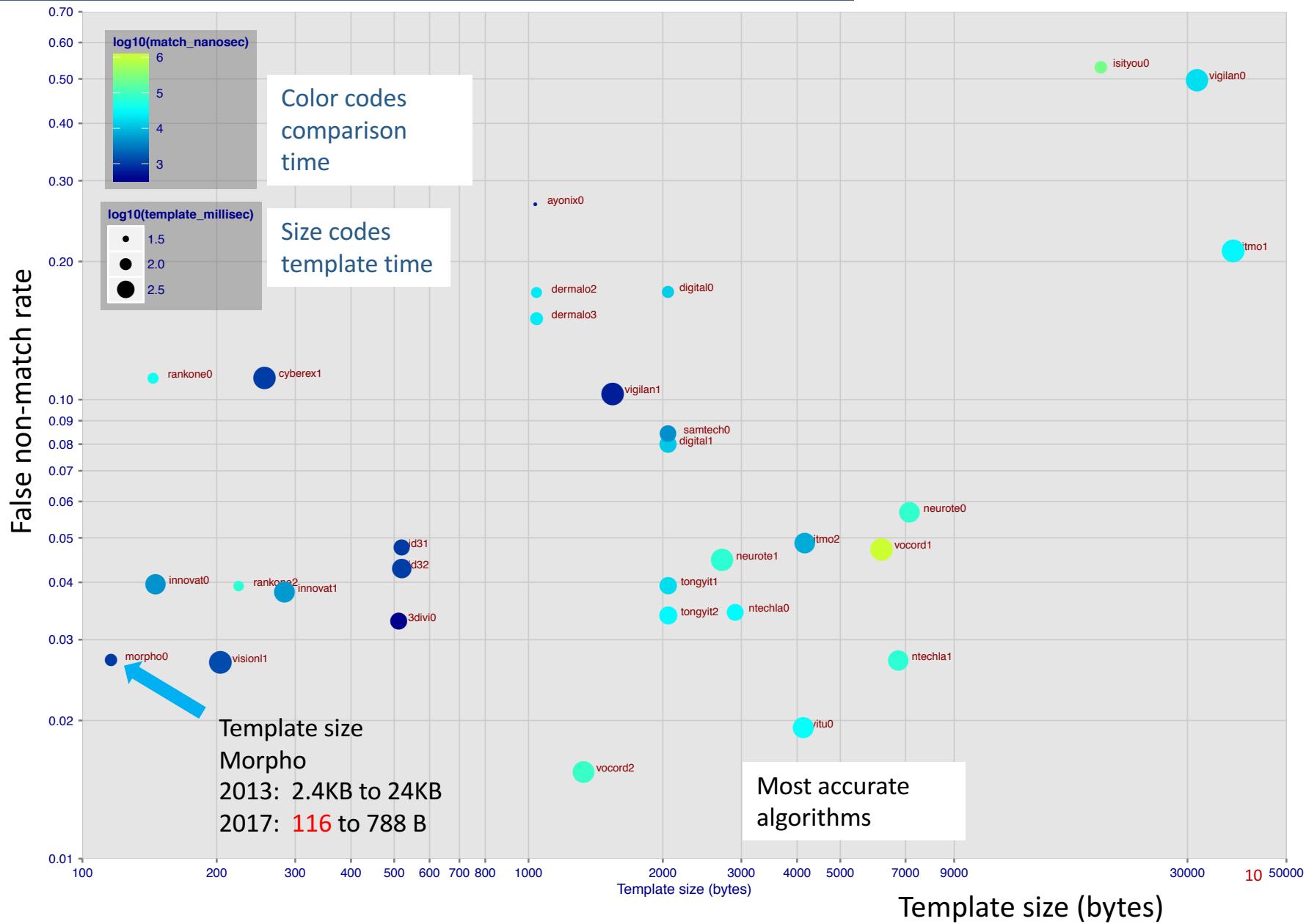
[4] Masato Ishii, Hitoshi Imaoka, and Atsushi Sato. Fast k-nearest neighbor search for face identification using bounds of residual score. In 2017 12th IEEE International Conference on Automatic Face & Gesture Recognition (FG 2017), pages 194–199, Los Alamitos, CA, USA, May 2017. IEEE Computer Society.

[5] Jeff Johnson, Matthijs Douze, and Hervé Jégou. Billion-scale similarity search with gpus. CoRR, abs/1702.08734, 2017

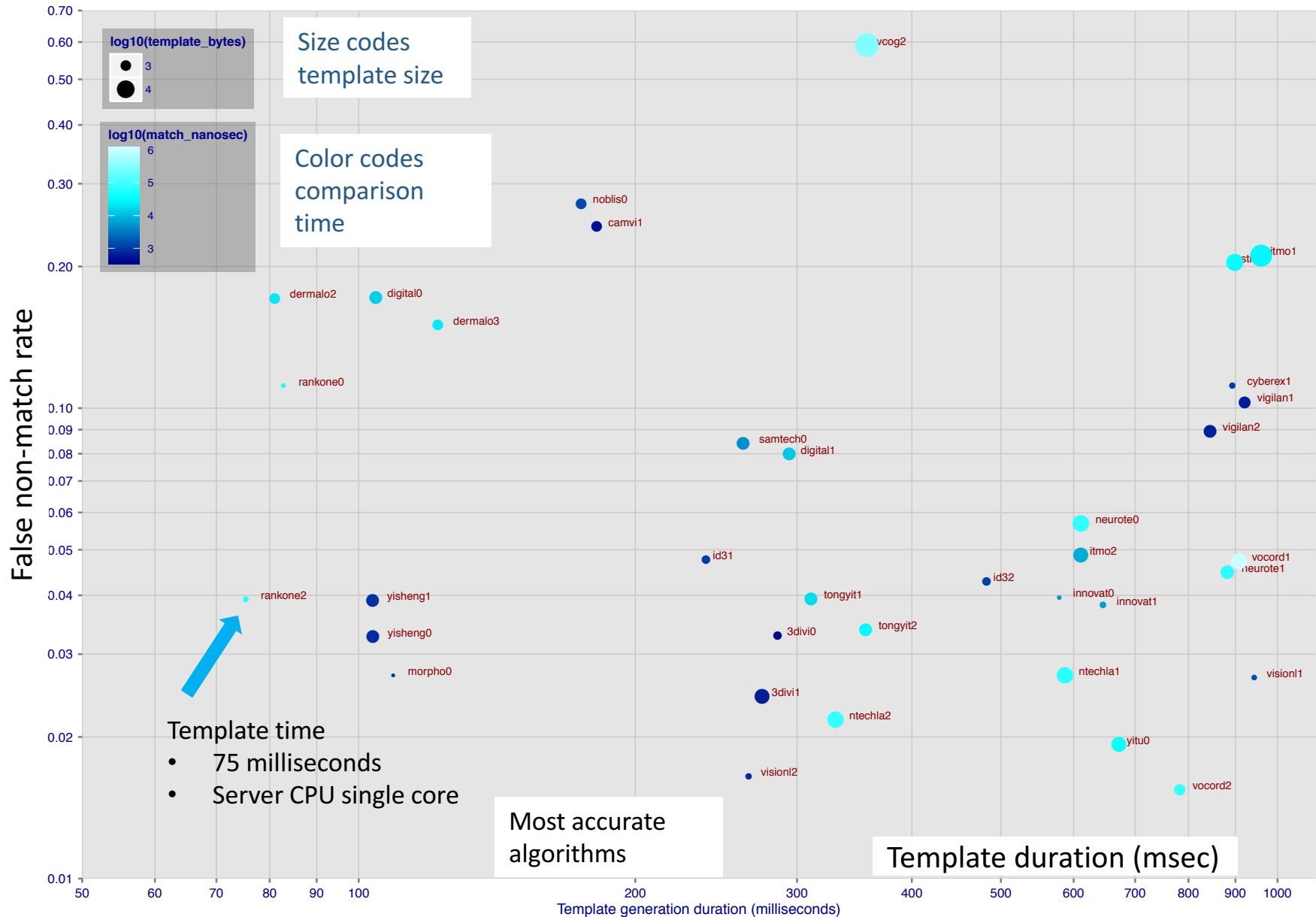
Miss rates grow with increased population, N



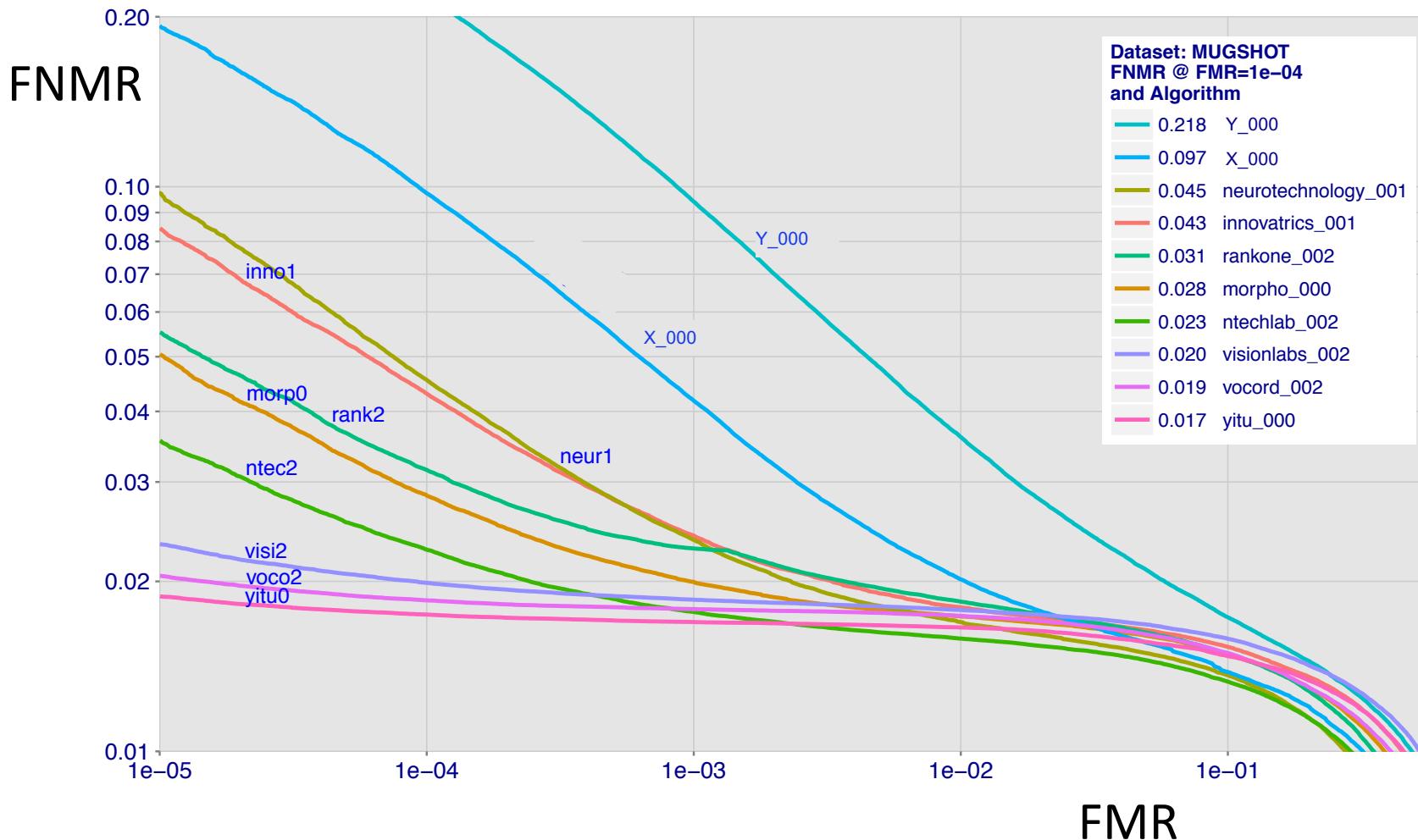
FR: Smaller, faster, more accurate



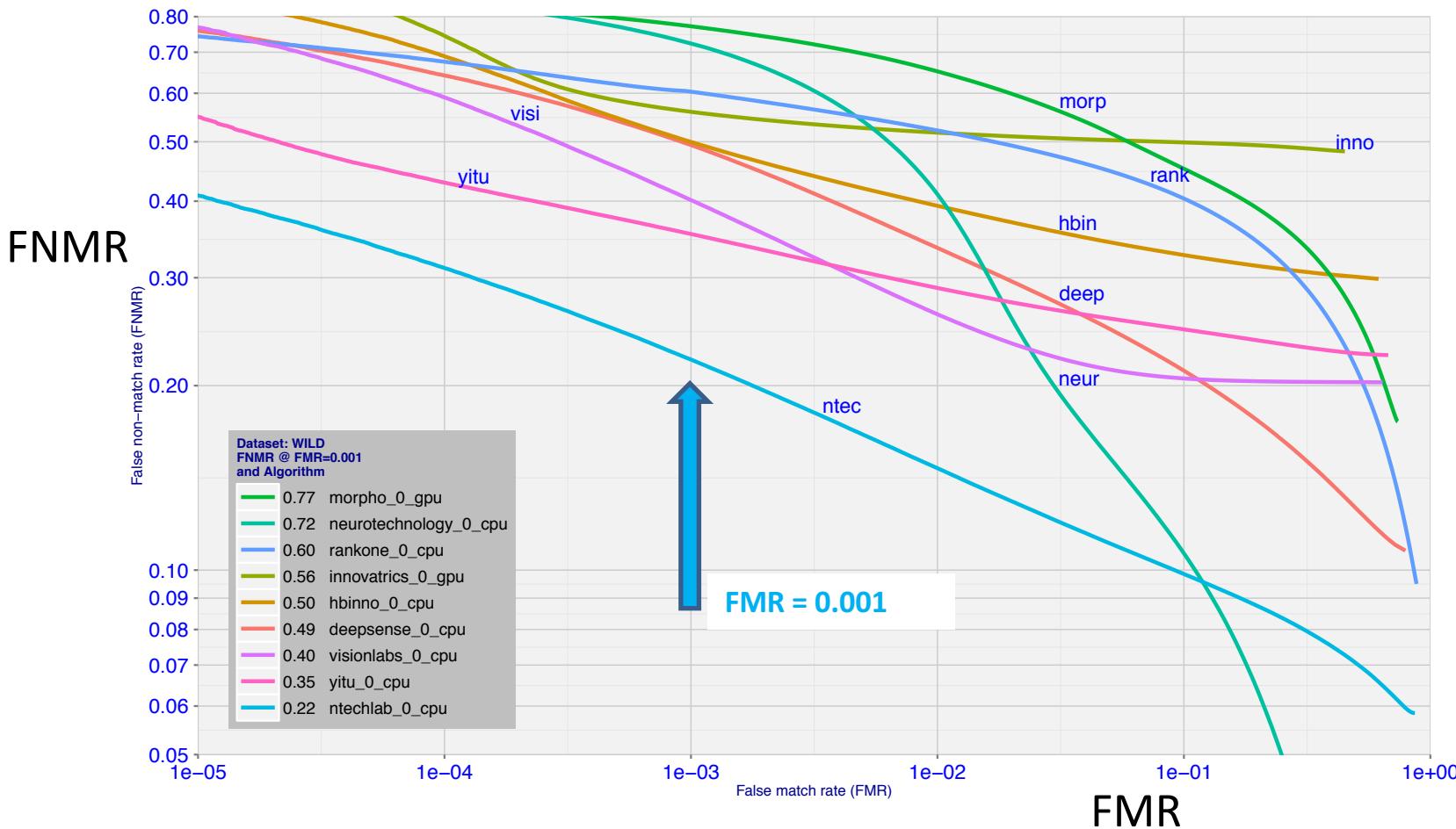
Performance: Accuracy + Speed



Mugshot-to-mugshot comparisons



Wild-to-wild comparisons



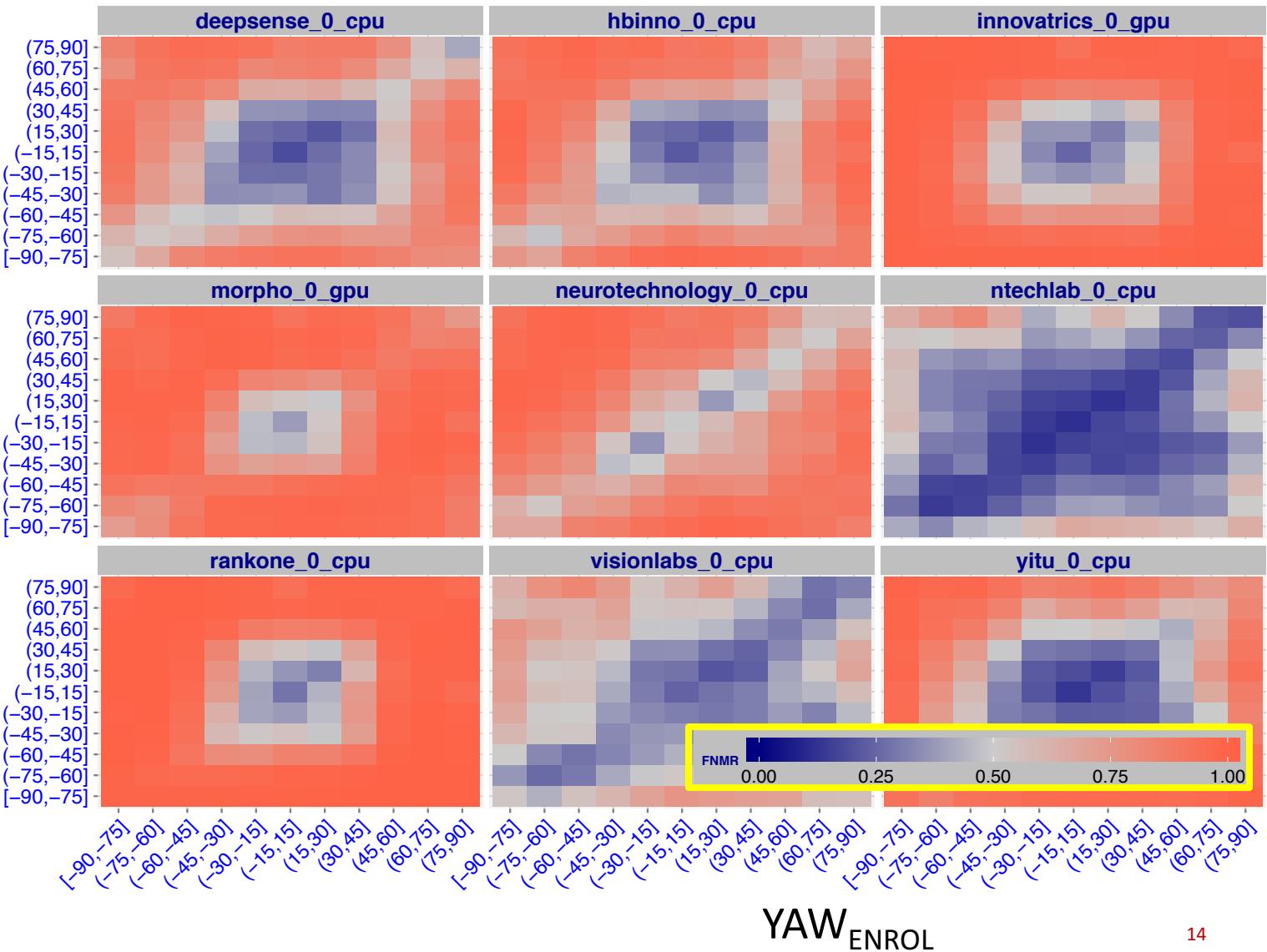
Verification FNMR(T, θ_E, θ_V)

Wild Images



$\text{YAW}_{\text{VERIF}}$

THRESHOLD FIXED TO
GIVE FMR = 0.001 on
NEAR FRONTAL
IMAGES





Thanks

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